

# Geotail observations of temperature anisotropy of the two-component protons in the dusk plasma sheet

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**Abstract.** In search for clues towards the understanding of the cold plasma sheet formation under northward IMF, we study the temperature anisotropy of the two-component protons in the plasma sheet near the dusk low-latitude boundary observed by the Geotail spacecraft. The two-component protons result from mixing of the cold component from the solar wind and the hot component of the magnetospheric origin, and may be the most eloquent evidence for the transport process across the magnetopause. The cold component occasionally has a strong anisotropy in the dusk flank, and the sense of the anisotropy depends on the observed locations: the parallel temperature is enhanced in the tail flank while the perpendicular temperature is enhanced on the dayside. The hot component is nearly isotropic in the tail while the perpendicular temperature is enhanced on the dayside. We discuss possible mechanism that can lead to the observed temperature anisotropies.

**Keywords.** Magnetospheric physics (Magnetotail; Magnetotail boundary layers; Plasma sheet)

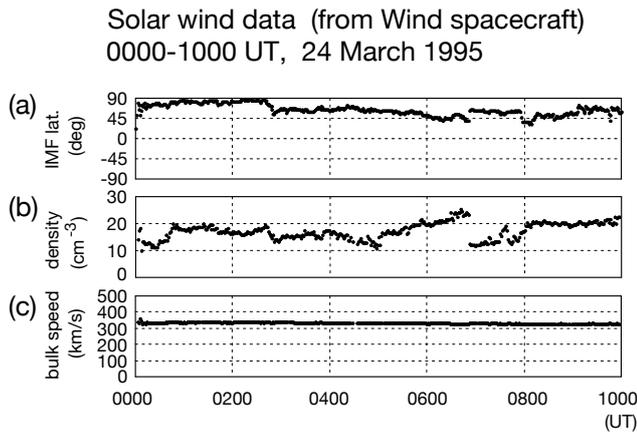
## 1 Introduction

It has been known that the near-Earth plasma sheet becomes cold and dense when the interplanetary magnetic field (IMF) points northward (e.g. Zwolakowska et al., 1992; Zwolakowska and Popielawska, 1992; Terasawa et al., 1997; Nishino et al., 2002; Wing et al., 2005). Origin of such cold plasma sheet is an important unsolved issue of magnetospheric physics. Because the trend of low temperature is more typical in the dawn and dusk flank regions than in the midnight region, the cold plasma is thought to be of solar wind origin and to come through the flanks (Terasawa et al., 1997). As a candidate for mechanism of plasma transport

across the magnetopause as well as the cold plasma sheet formation, Song and Russell (1992) proposed double high-latitude reconnection whose evidence was given through simulations (Li et al., 2005; Øieroset et al., 2005) and observations (Onsager et al., 2001; Lavraud et al., 2005, 2006). Kelvin-Helmholtz (KH) instability (Fairfield et al., 2000) has also been suggested with support from both simulations (e.g. Otto and Fairfield, 2000; Nykyri and Otto, 2001; Matsumoto and Hoshino, 2006) and observations as well (e.g. Hasegawa et al., 2004). However, the plasma transport mechanism across the magnetopause is still under debate, and basic signatures of the cold plasma have not been well understood.

As a characteristic of the near-Earth cold plasma sheet, it has been pointed out that cold and hot protons occasionally coexist in the plasma sheet near the magnetopause (Eastman et al., 1976; Sckopke et al., 1981). Especially, it is on the duskside that cold and hot proton components coexist in the plasma sheet under northward IMF (Fujimoto et al., 1998; Hasegawa et al., 2003; Nishino et al., 2005; Wing et al., 2005). It is believed that these two components have separate origins: the two-component protons result from spatial mixing of the cold component of direct solar wind origin and the hot component of magnetospheric origin. On the duskside the distribution function of protons gradually changes from two-component to one-component status during prolonged northward IMF intervals (Nishino et al., 2005), which implies that the two-component protons are in the early stage of ion mixing. Therefore, the study of each component characteristics is important to understand the formation of the cold plasma sheet under northward IMF. However, quantitative analysis of each component has not been performed from in situ observations. While Wing et al. (2005) showed the existence of two components from a statistical study of plasma sheet ions remote-sensed from low altitude satellites, the limitation of this data set is that temperature anisotropies can not be investigated. Traver et al. (1991) showed a case where low energy protons in the dusk flank plasma sheet have

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**Fig. 1.** Solar wind data between 00:00–10:00 UT on 24 March 1995 obtained by the Wind spacecraft. From the top, (a) latitudinal angle of the IMF, (b) proton density, and (c) bulk flow speed are plotted.

parallel anisotropy under northward IMF, while Wing et al. (2005) pointed out perpendicular anisotropy of cold protons on the duskside referring the data shown by Hasegawa et al. (2003). In this paper we study temperature anisotropies of both the cold and hot proton components in the dusk plasma sheet. We will discuss possible mechanism that can lead to the observed temperature anisotropies.

## 2 Instrumentation and fitting method

We use data from three-dimensional (3-D) ion distribution functions obtained every 12 s by the low energy particle (Geotail/LEP) experiment (Mukai et al., 1994) and the magnetic field data obtained by the flux-gate magnetometer (Geotail/MGF) (Kokubun et al., 1994). The ion energy-per-charge analyzer of LEP-EAi detects ions with energy between 32 eV/q and 39 keV/q, which covers most of the typical energy range of plasma sheet ions. In order to obtain plasma moment parameters, such as densities, velocities, perpendicular and parallel temperatures, separately for cold and hot ion components, we utilize a two-Maxwellian mixture model with a maximum-likelihood scheme (Ueno et al., 2001). In this scheme the total ion density is calculated from observed total counts (see Ueno et al., 2001, for details). We denote these temperature parameters as  $T_{C\perp}$ ,  $T_{C\parallel}$ ,  $T_{H\perp}$ , and  $T_{H\parallel}$ , respectively. Solar wind parameters observed by the Wind and the ACE spacecraft are obtained from the CDAWeb.

## 3 Case studies

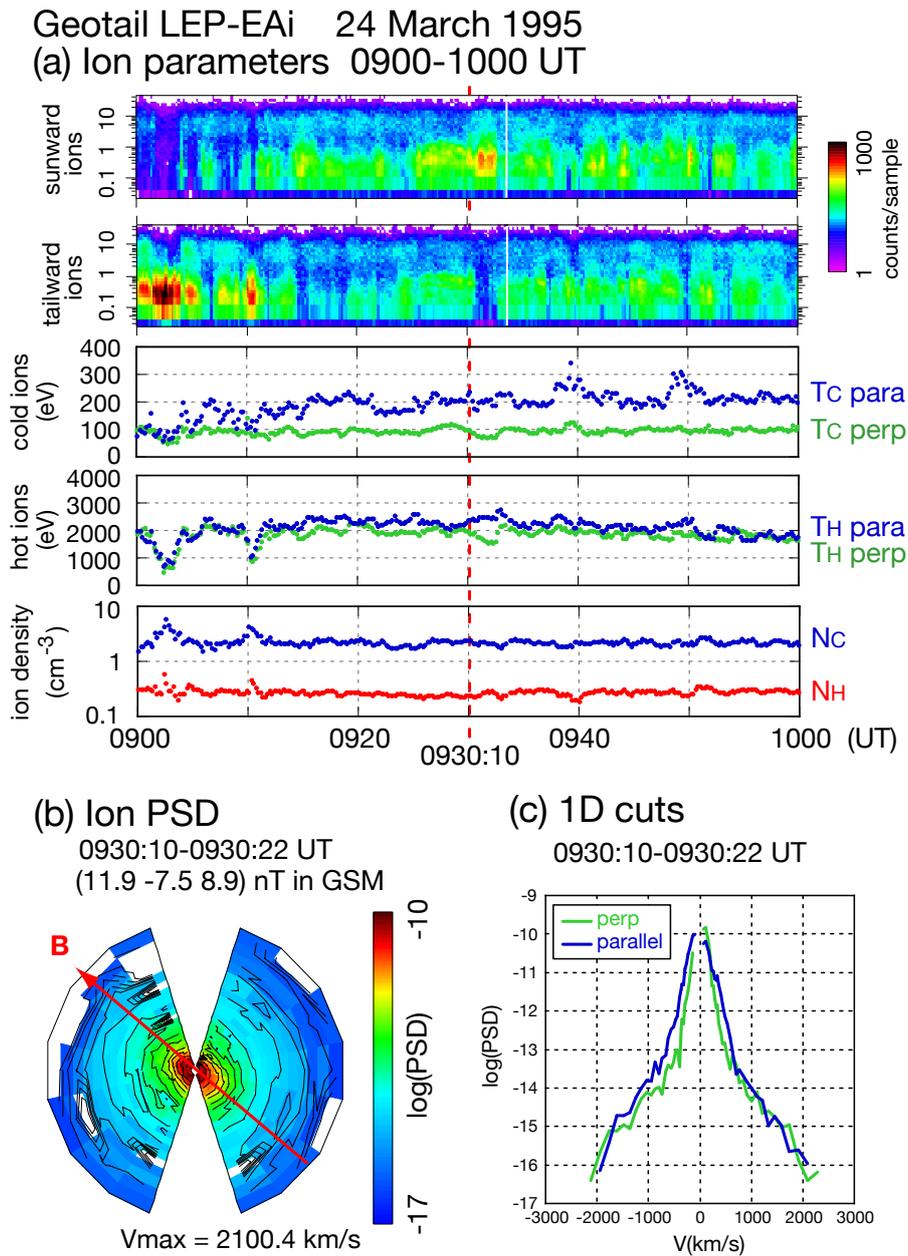
### 3.1 24 March 1995 event ( $T_{C\parallel} > T_{C\perp}$ )

We perform a case study of two-component protons in the dusk plasma sheet observed on 24 March 1995 when the IMF pointed strongly northward. The solar wind data between 00:00–12:00 UT from the Wind spacecraft (at  $X \sim 219 R_E$ ) are shown in Fig. 1, where (a) the latitudinal angle of the IMF, (b) the proton density, and (c) the bulk proton speed are plotted.

On the day Geotail came from the dusk magnetosheath into the magnetosphere and observed the cold plasma sheet in the midst of the prolonged northward IMF interval (Fujimoto et al., 1998; Fairfield et al., 2000). Figure 2a shows the Geotail ion observations between 09:00–10:00 UT. From the top, energy-time (E-t) diagrams of sunward and tailward ions, temperatures and densities of cold and hot components of protons are shown. The perpendicular (parallel) temperature is shown by the green (blue) points, the density of the cold (hot) component is shown by the blue (red) points. Before 09:10 UT Geotail stayed in the low-latitude boundary layer (LLBL) with cold dense tailward flow, and after 09:11 UT it was in the stagnant cold plasma sheet where two peaks are evident in the sunward and tailward E-t diagrams. At 09:10 UT Geotail was located at  $(-15.3, 18.4, 2.2) R_E$  in the GSM coordinates, moving gradually inward from the dusk to the midnight region.

Throughout the interval after 09:11 UT, the cold proton component had strong parallel anisotropy,  $T_{C\perp}/T_{C\parallel} \sim 0.47$ , where  $T_{C\perp}$  and  $T_{C\parallel}$  were about 100 eV and 210 eV, respectively. The hot component has much weaker anisotropy than the cold component, with the perpendicular and parallel temperatures ( $T_{H\perp}$  and  $T_{H\parallel}$ ) being 1.9 keV and 2.2 keV which give  $T_{H\perp}/T_{H\parallel} \sim 0.87$ . The average densities of the cold and hot components were about  $2.2 \text{ cm}^{-3}$  and  $0.3 \text{ cm}^{-3}$ , respectively, which means that the main component in the plasma sheet was the cold one, although thermal pressures of the two components were comparable to each other. The parallel anisotropy of the cold proton component is consistent with a past study by Traver et al. (1991) who pointed out parallel anisotropy of cold protons in the tail-flank plasma sheet on the duskside under northward IMF.

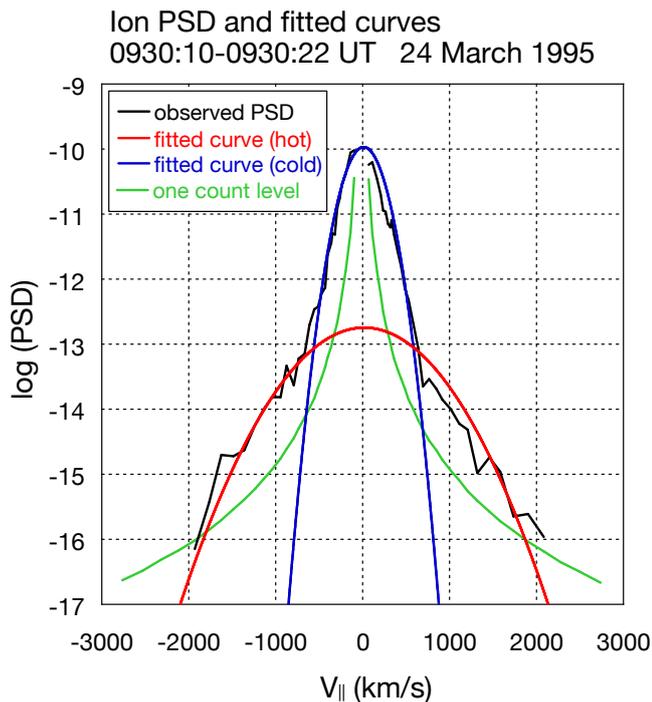
We also compare the temperature of the cold component with the kinetic energy of the solar wind protons. Because the solar wind speed was about 330 km/s ( $\sim 0.6 \text{ keV}$ ) during this event, the perpendicular and parallel temperatures (thermal energy) of the cold component normalized by the kinetic energy of the solar wind protons were as low as 0.17 and 0.35, respectively. The normalized parallel temperature is comparable to cold component temperatures obtained from DMSP observations shown by Wing et al. (2005), while the normalized perpendicular temperature is much lower than them. The temperatures and density of the hot component are similar to those in other duskside event (Wing et al.,



**Fig. 2.** The upper panel (a) shows Geotail observations between 09:00–10:00 UT on 24 March 1995. From the top, energy-time (E-t) diagrams of sunward and tailward ions, temperatures and densities of cold and hot components of protons are shown. The perpendicular (parallel) temperature is shown by the green (blue) points, the density of the cold (hot) component is shown by the blue (red) points. The lower left panel (b) shows a cut of ion PSD for the 12-s interval 09:30:10–09:30:22 UT in the plane that includes the magnetic field. The field direction is shown by the red arrow. The lower right panel (c) shows one-dimensional cuts of the PSD in the direction perpendicular (green curve) and parallel (blue curve) to the local magnetic field.

2006) where densities and temperatures were about  $0.4 \text{ cm}^{-3}$  and  $\sim 2 \text{ keV}$ , respectively. The temperatures of cold proton component from DMSP satellites seem to prefer the parallel temperature to the perpendicular temperature of the cold proton component of Geotail observations, which might be

attributed to the usage of ion flux along the magnetic field at the DMSP location. Direct comparison between DMSP and Geotail temperature data including anisotropies is beyond the scope of this paper.



**Fig. 3.** Comparison between the observed PSD in the parallel direction and the estimated PSD by the two-Maxwellian mixture model. The black line shows the observed PSD, and the red (blue) curve corresponds to the fitted curve of the hot (cold) component. A couple of green curves are the one-count level of the detector.

Figure 2b shows a cut of the ion PSD for the 12-s interval 09:30:10–09:30:22 UT in the plane that includes the magnetic field. The left (right) portion of the cut shows the sunward (tailward) moving ions. The maximum speed shown in the figure is about 2100 km/s. The magnetic field was (11.9,  $-7.5$ , 8.9) nT in the GSM coordinates, whose direction is shown by a red arrow in the figure. Dominance of  $T_{C\parallel}$  over  $T_{C\perp}$  mentioned above is seen as the elongation of the PSD contours along the magnetic field in Fig. 2b (yellow-red colored region).

Figure 2c shows one-dimensional cuts of the PSDs in the direction perpendicular and parallel to the local magnetic field. The green (blue) curve corresponds to the perpendicular (parallel) component. The hot and cold components are clearly apparent in the PSD curves, with enhanced fluxes at high energies that do not fit a single cold Maxwellian distribution.

Figure 3 compares observed PSD in the parallel direction with the fitted curves of the two-Maxwellian mixture model for the same data as presented in Fig. 2. The black line shows the observed PSD, and the red (blue) curve is a fit to the Maxwellian distribution of the hot (cold) component. A couple of green curves correspond to the one-count level of the detector. As a whole, the two-Maxwellian fitting seems to work well for the two-component proton cases.

### 3.2 23 September 1995 event ( $T_{C\parallel} < T_{C\perp}$ )

Next we show another case in which the perpendicular temperature of the cold component ( $T_{C\perp}$ ) is higher than the parallel temperature ( $T_{C\parallel}$ ).

Figure 4a shows the Geotail observation of the two-component protons in the dayside-dusk plasma sheet between 07:40–08:00 UT on 23 September 1995, in the same format as Fig. 2a. At 07:40 UT Geotail was located at (5.3, 9.1,  $-3.8$ )  $R_E$  in the GSM coordinates, crossing the magnetopause from the dense boundary layer into the magnetosphere. Figure 5 shows the solar wind observations between 00:00–08:00 UT obtained by Wind at  $X \sim 68 R_E$ . The IMF pointed northward between 03:04–08:15 UT at the Wind location except for two short excursions to southward direction (Fig. 5a). The observation interval in Fig. 4a corresponds to the end of the prolonged northward IMF period. The averaged solar wind speed was 386 km/s (0.77 keV) and its density was about  $11.4 \text{ cm}^{-3}$  (Fig. 5b and c).

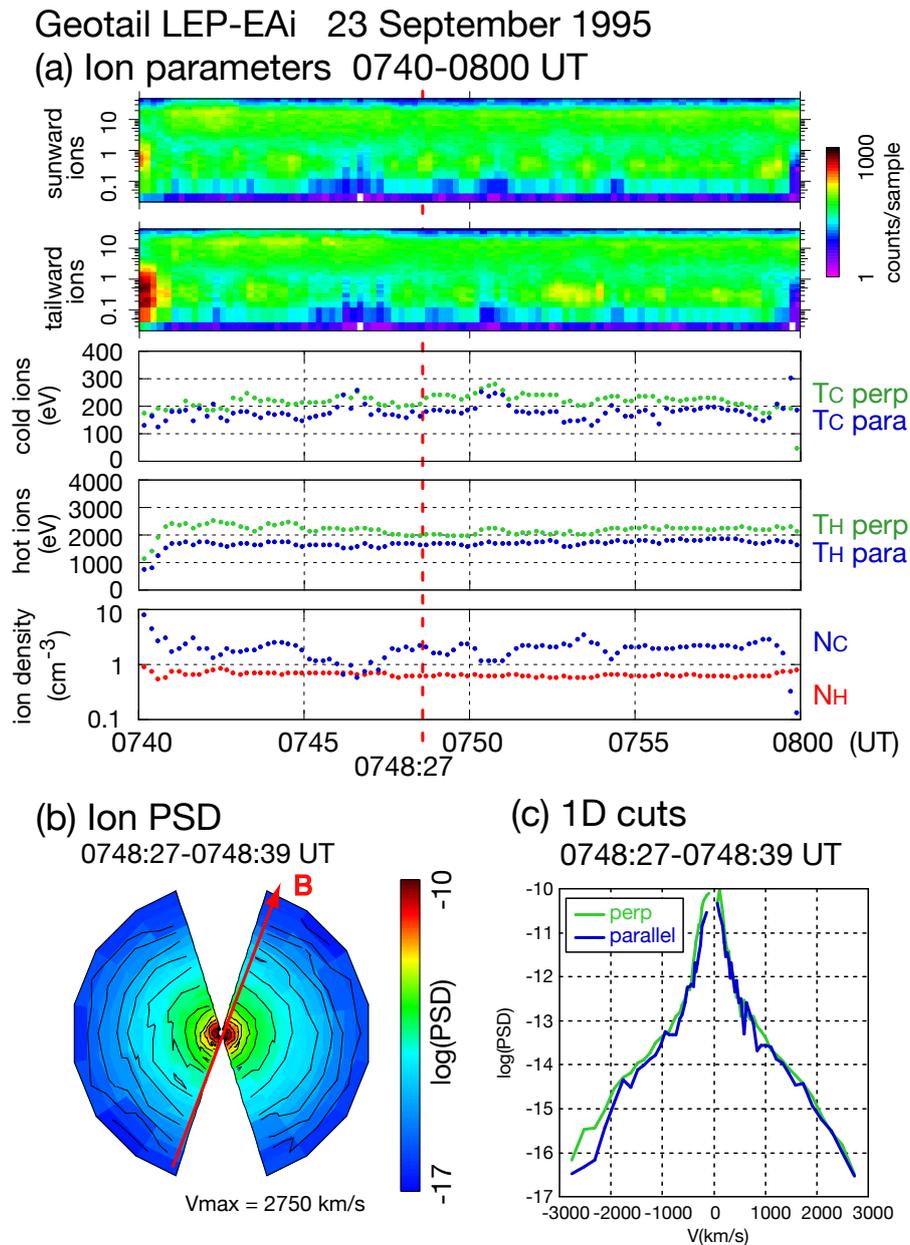
In the plasma sheet with the two-component protons, the perpendicular and parallel temperatures of the cold component ( $T_{C\perp}$  and  $T_{C\parallel}$ ) were 220 eV and 160 eV, respectively. The anisotropy  $T_{C\perp}/T_{C\parallel}$  was  $\sim 1.4$ , where the perpendicular temperature exceeded the parallel temperature in contrast to the previous event. The perpendicular and parallel temperatures of the cold proton component normalized by  $E_{SW}$  were 0.29 and 0.21. The perpendicular and parallel temperatures of the hot component ( $T_{H\perp}$  and  $T_{H\parallel}$ ) were 4.5 keV and 3.4 keV, respectively, and its anisotropy ( $T_{H\perp}/T_{H\parallel}$ ) was  $\sim 1.3$ . The average densities of the cold and hot components were about  $2.0 \text{ cm}^{-3}$  and  $0.7 \text{ cm}^{-3}$ , respectively. In the plasma sheet adjacent to the boundary layer, both components were stagnant with flow speeds less than 50 km/s. The hot component temperatures were higher than those in the tail flank event ( $\sim 2$  keV), while the cold component temperatures were similar in both events.

Figure 4b shows a cut of ion PSD between 07:43:40–07:43:52 UT, in the same format as Fig. 1b but the maximum speed shown in the figure is about 2750 km/s. The magnetic field was ( $-0.6$ , 29.6, 32.5) nT in GSM. Enhancement of the perpendicular anisotropy for the cold component is seen as the elongation of the PSD contours in the direction perpendicular to the magnetic field in Fig. 4b (yellow-red colored region).

Figure 4c shows one-dimensional PSDs in the direction perpendicular and parallel to the local magnetic field, in the same format as Fig. 2c. In both low and high energy ranges the perpendicular component dominates over the parallel one.

## 4 Statistical study

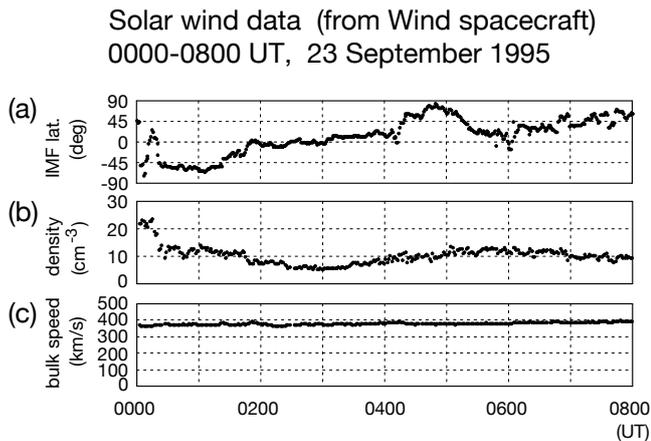
In order to further investigate the trend of temperature anisotropy of the two-component protons in the stagnant



**Fig. 4.** The upper panel shows the Geotail observations between 07:40–08:00 UT on 23 September 1995, in the same format as Fig. 2. The lower panel shows a cut of ion phase space density for the 12-s interval 07:43:40–07:43:52 UT.

plasma sheet near the low-latitude boundary, we surveyed the Geotail E-t diagrams by eyes for the period 1995–2000, and chose 15 intervals of two-component protons (11 in the tail and 4 on the dayside, whose XY positions are shown in Fig. 6a), imposing a condition that there are two separate peaks in the E-t diagrams. The relatively small amount of events is due to limitation of acquisition of the 3-D data. The fitted parameters of each event are averaged over the intervals when the ion counts had two peaks and flows were stagnant

( $|V_X| < 50$  km/s). These events all are related to northward IMF intervals (IMF data are not shown in this paper), which is consistent with previous studies (e.g. Hasegawa et al., 2003; Nishino et al., 2005). For each event, there was a continuous northward IMF interval longer than 3 h just before the observation of the two-component protons. This time scale is consistent with those shown in the statistical study by Wing et al. (2005).



**Fig. 5.** Solar wind observations between 00:00–08:00 UT on 23 September 1995 in the same format as Fig. 1.

Figure 6b shows the ratio of the perpendicular to parallel temperature of each component. As for the cold component, the ratio ( $T_{C\perp}/T_{C\parallel}$ ) is in the range of 0.5–1.3, depending on the  $X$  coordinate of the observed location. On the dayside ( $X > 0 R_E$ ),  $T_{C\perp}/T_{C\parallel}$  is larger than unity and roughly in the range of 1.1–1.3. In the dusk tail plasma sheet ( $X < 0 R_E$ ), the ratio is less than unity, and it can be as low as 0.47. Such strong anisotropy of the cold component is occasionally observed around  $X \sim -10$  to  $\sim -20 R_E$ .

Original data of the perpendicular and parallel temperatures of the cold proton component are shown in Fig. 6c, where both of them are normalized by the kinetic energy of the solar wind protons which is denoted as  $E_{SW}$ . The green squares (blue circles) correspond to the normalized perpendicular (parallel) temperature. Most of the temperatures of the cold component are in the range of 20–40 percent of the kinetic energy of the solar wind protons; that is, the typical temperature of the cold component is roughly 200–400 eV for typical solar wind energy of 1 keV (437.7 km/s). We note that the normalized parallel temperature ( $T_{C\parallel}/E_{SW}$ ) is roughly in the range of 0.3–0.4 in the tail plasma sheet, and it is as low as 0.2 on the dayside. The parallel temperature of the cold component in the tail is consistent with the cold component temperatures on the duskside obtained by Wing et al. (2005, 2006).

The hot proton component is less anisotropic than the cold component (Fig. 6b). The ratio of the perpendicular to parallel temperature of the hot component ( $T_{H\perp}/T_{H\parallel}$ ) is in the range between 0.9–1.4, exceeding 1.0 except 2 cases in the tail region. The tendency is consistent with the proton temperature anisotropy in the usual plasma sheet ( $T_{\perp}/T_{\parallel} > 1$ ) (Mauk and McPherron, 1980). The perpendicular and parallel temperatures of the hot proton component normalized by  $E_{SW}$  are shown in Fig. 6d. These normalized temperatures are in the range of 3–4 in the dusk-tail plasma sheet, and

are at times more than 5 on the dayside. This trend resembles a statistical profile of plasma sheet temperature during southward IMF intervals (Wing and Newell, 2002), which supports the idea that the hot proton component on the dayside is of the magnetospheric origin and convect adiabatically from the tail plasma sheet.

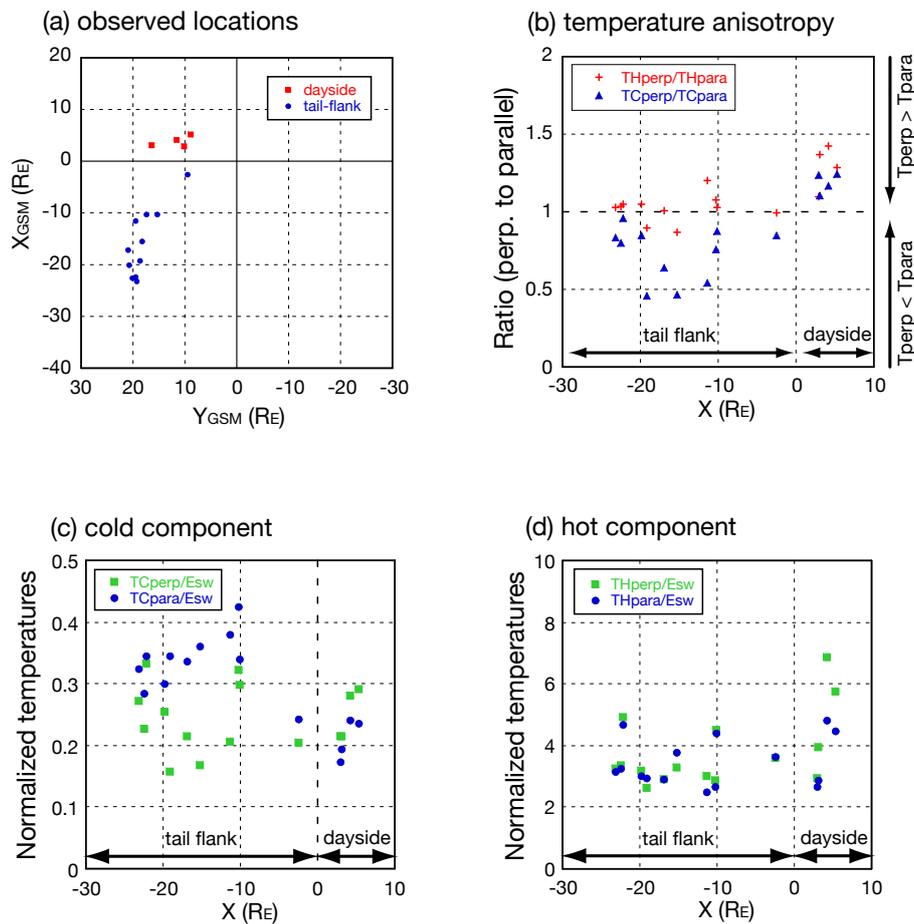
## 5 Discussion

We first focus on the difference of spatial temperature profiles of the cold and hot proton components. The hot proton component on the dayside is hotter than that in the tail flank (Fig. 6d). This temperature profile resembles that of the plasma sheet protons under southward IMF shown by Wing and Newell (2002) with the DMSP data, which suggests that the hot proton component is transported from nightside to dayside plasma sheet by convection. If the cold proton component convects together with the hot component from nightside to dayside plasma sheet, the trend of spatial change of the cold component temperature should be similar to that of the hot component temperature. The temperature profile of the cold component (Fig. 6c), however, is different from that of the hot component: that is, the parallel temperature of the cold component in the tail region is higher than that on the dayside, and the perpendicular temperatures on the dayside and in the tail seem to be similar. In addition, spatial profile of the parallel temperature of the cold proton component means that the cold protons are not transported from the tail flank to the dayside and vice versa, and suggests that the cold protons on the dayside and in the tail came into the magnetosphere through separate locations. This result seems to conflict with the suggestion by Hasegawa et al. (2003) that the cold protons on the dayside is transported from the tail plasma sheet. However, further inspection is necessary to understand what occurs around the border between the dayside and tail flank plasma sheet, for there are few events in the region between  $X \sim 0$  and  $-10 R_E$  in our study.

We discuss mechanism by which the parallel temperature of the cold proton component is enhanced in the tail (around  $X \sim -10$  to  $X \sim -20 R_E$ ). Let us focus on adiabatic heating in the plasma sheet, for betatron acceleration influences perpendicular temperature and Fermi acceleration does parallel temperature in general. Yamamoto and Tamao (1978) found that sunward convection in the magnetotail (from  $X \sim -40$  to  $X \sim -20 R_E$ ) yields parallel anisotropy as a result of the interplay between Fermi and betatron accelerations. Therefore, we suggest that some fraction of parallel anisotropy of the cold proton component in the tail region is explained by adiabatic heating associated with sunward convection.

The perpendicular anisotropy of the hot component on the dayside may be attributed to losses into the ionosphere along the magnetic field. In the tail flank the hot component was almost isotropic while it had weak parallel anisotropy in two events where the cold component had strong parallel

### Statistical plots of two-component proton events



**Fig. 6.** Statistical plots for the 4 dayside and 11 tail events. Panel (a) shows the projection onto the GSM  $XY$  plane of observed locations of the plasma sheet with the two-component protons near the dusk low-latitude boundary. Dayside (tail) events are plotted as red squares (blue circles). Panels (b–d) show relation between the  $X$  coordinate of the observed locations and the temperature parameters. Panel (b) shows anisotropy of each component (ratio of perpendicular to parallel temperature). The blue (red) point corresponds to the ratio of the cold (hot) component temperatures. Panels (c) and (d) show normalized temperatures of the cold and hot components parallel to and perpendicular to the magnetic field, with blue circles (green squares) corresponding to the parallel (perpendicular) temperature. The temperatures are normalized by the kinetic energy of the solar wind protons ( $437.7 \text{ km/s}=1 \text{ keV}$ ).

anisotropy (Fig. 6b). The parallel anisotropies of both cold and hot components support the idea that observed parallel anisotropy may be explained, to some extent, by adiabatic change due to sunward convection in the plasma sheet.

We discuss the relation between our findings and the proposed candidates for the entry mechanism of magnetosheath protons into the magnetosphere under northward IMF. One of the candidates which enables the capture of magnetosheath plasma into dayside magnetosphere is magnetic reconnection that occurs at the high-latitude magnetopause (e.g. Song and Russell, 1992; Li et al., 2005; Øieroset et al., 2005; Lavraud et al., 2005, 2006). However, the perpendicular anisotropy of the cold proton component ( $T_{C\perp}/T_{C\parallel} > 1$ ) observed on the

dayside disagrees with proton signatures of magnetic reconnection, since the counter streaming protons are expected to be observed near the high-latitude magnetic reconnection site (Retinò et al., 2005). Our observations also conflict with the prediction by the numerical model (e.g. Song et al., 1999) where the cold plasma which comes across the dayside magnetopause from the magnetosheath goes to the tail flank, because tailward flows in the boundary plasma sheet supposed by the model cannot explain the observed spatial profile of the parallel temperatures on the dayside and in the tail.

The entry of cold plasma on the dayside may not be attributed to the Kelvin-Helmholtz (KH) instability, for the vortical structures around the magnetopause have been

observed only in the downstream region of the terminator (e.g. Hasegawa et al., 2004). On the other hand, the enhancement of  $T_{C\parallel}$  around  $X \sim -10$  to  $\sim -20 R_E$  might be explained by magnetic reconnection in the vortical structures due to KH instability that might play an important role in plasma transport across the magnetopause (e.g. Otto and Fairfield, 2000; Nykyri and Otto, 2001; Nakamura et al., 2006).

As a candidate of plasma transport mechanism across the dayside magnetopause, kinetic Alfvén waves (KAWs) have been proposed (Johnson et al., 2001). Hasegawa et al. (2003), analyzing the dayside plasma sheet with two-component protons, suggested heating of cold electrons in the direction parallel to the local magnetic field. Since the KAW can explain both the proton heating in the perpendicular direction and the electron heating in the parallel direction, our results on the dayside are not inconsistent with the KAW. However, comparison to the magnetosheath is necessary to further discuss heating mechanism, because the protons in the dayside magnetosheath occasionally have strong perpendicular anisotropy during the northward IMF intervals (Farrugia et al., 2001).

Finally, we consider the fate of the cold proton component on the dayside. The difference of temperature anisotropy of the cold proton component between the dayside and tail flank plasma sheet suggests that the cold component on the dayside cannot go down the tail flank via plasma convection in the plasma sheet. The cold proton component on the dayside might go further down the tail with dayside magnetic reconnection after the southward turning of the IMF.

## 6 Conclusions

We have analyzed the temperature anisotropy of the two-component protons in the plasma sheet near the dusk low-latitude boundary. In the dayside dusk plasma sheet  $T_{C\perp}/T_{C\parallel} > 1$ , while in the tail dusk plasma sheet  $T_{C\perp}/T_{C\parallel} < 1$ . The hot component is isotropic ( $T_{H\perp}/T_{H\parallel} \sim 1$ ) in the tail while  $T_{H\perp}/T_{H\parallel} > 1$  on the dayside. The parallel anisotropy in the tail flank might be partly explained by adiabatic heating associated with sunward convection. The difference of temperature anisotropy of the cold component between the dayside and tail-flank regions suggests that the cold plasma on the dayside entered through dayside magnetopause and that the cold plasma in the tail plasma sheet did via dusk tail-flank magnetopause. It is not plausible that the cold protons that came into the magnetosphere on the dayside go down to the tail flank.

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