On the frequency modulation of VLF emissions

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Received: 6 September 1995/Revised: 20 May 1996/Accepted: 21 May 1996

Abstract. The VLF-wave frequency modulation efficiency as a function of magnetosphere plasma parameters under the weak pitch-angle diffusion regime is studied. The study is based on the VLF growth-rate modulation both in the magnetosphere equatorial plane and after integrating along the magnetic field line. It is shown that for the typical quiet magnetosphere plasma parameters the relative shift of the maximum intensity frequency $\Delta u_m/u_m$ is approximately equal to relative disturbance of the magnetic field in the magnetosphere equatorial plane, but may exceed it when both electron temperature anisotropy and the parameter $aE$ are small; here $a$ is the total-to-warm electron content ratio and $E$ is the electron parallel beta. It is also shown that relative shift of the maximum intensity frequency $\Delta u_m/u_m$ after integrating along the field line is not less than 50% from its value at the equatorial plane, which allows the use of the equatorial-plasma-parameter data to estimate the VLF frequency modulation on the ground. The upper cut-off frequency modulation is considered as well. The calculated theoretical sonagrams show that this frequency modulation may be related to the “inverted-V” structures of QP hiss.

1 Introduction

Frequency modulation in VLF range is closely associated with the observed intensity variations; it is most common for so-called quasi-periodic (QP) emissions observed outside the plasmapause (Sato et al., 1974; Likhter and Sazhin, 1980; Sato and Kokubun 1980, 1981; Sato and Fukunishi, 1981; Tixier and Cornilleau-Wehrlin, 1986; Sazhin and Hayakawa, 1994). The frequency modulation appears either as variations of the upper and lower emission frequency boundaries or as variations of the emission maximum frequency that is a frequency of maximum amplitude. In the first case, the maximum amplitude frequency of the QP emission remains almost invariable while the frequency band pulses, the modulated emission refers to non-dispersive type (Sato and Kokubun, 1980; Sato and Fukunishi, 1981). But if the maximum amplitude frequency varies, the emission refers to dispersive type (Sato and Fukunishi, 1981). As was claimed by Sato and Fukunishi (1981), almost 75% of non-dispersive emissions and most diffuse rising tones are associated with concurrent magnetic pulsations (such QP events are classified by Sato et al. (1974) as QP1) of the same period as that of the modulation. The modulation depth of the upper hiss boundary may be as large as 20% (Sato and Fukunishi, 1981).

As was estimated by Tixier and Cornilleau-Wehrlin (1986), the experimental value of the upper cut-off frequency shift appears to be too large to be consistent with the theoretical value given by the modulation mechanism proposed by Coroniti and Kennel (1970) when the weak pitch-angle diffusion regime of electrons is assumed. In the concept of Coroniti and Kennel, the electron cyclotron instability is disturbed by the compressional component of the geomagnetic pulsation. This idea was used by Sato and Fukunishi (1981) when proposing the possible way of diffuse rising tone formation: they supposed a magnetosonic wave propagating radially toward the Earth which excites consequently more and more lower L-shells.

The Coroniti-Kennel mechanism has also been used by Haugstad (1976), who studied the emission upper cut-off frequency behaviour under the strong and weak electron pitch-angle diffusion regime. Haugstad (1976) had argued that the variations in upper cut-off frequency are in phase with causative magnetic pulsations of the weak diffusion and in opposite phase for the strong pitch-angle diffusion regime. The aim of the actual paper is to examine theoretically the VLF-wave frequency modulation efficiency as a function of magnetosphere plasma parameters. The study of the response of both the local and the field-line-integrated
VLF growth rate to the magnetic-field oscillations is held in the framework of the Coroniti-Kennel mechanism of the interaction for a case of weak pitch-angle diffusion. In the calculations a dipole model of the geomagnetic field is used. Sample f-t diagrams for some VLF-ULF interaction situations are simulated.

2 The model description

Consider a plane magnetosonic wave propagating radially towards the Earth in the magnetosphere equatorial plane (Fig. 1). VLF waves are assumed to be amplified in a limited region near by the equatorial plane in a thin L-shell range wherein the magnetic-field component \( B_x \) oscillates as \( \sin(\Omega t) \) where \( \Omega \) is the magnetosonic wave frequency. Field-aligned VLF wave propagation is assumed.

The model of a mixed cold-warm plasma system by Cuperman and Landau (1974) with bi-Maxwellian distribution of warm electrons is used. The constancy of the anisotropy function during the VLF-wave amplification is assumed. The VLF-wave frequency response to a magnetic-field disturbance, as well as the ratio between the electron thermal parallel velocity and electron Alfvén velocity square as a function of the magnetosphere plasma parameters, are calculated for the following plasma-parameter set: \( L = 5 \), \( n = 1 \text{ cm}^{-3} \), \( n/n_w = 10 \), \( \beta_e = 0.1 \), \( D = 1.5 \).

Assume the z-axis coincides with the undisturbed-magnetic-field direction. Consider a normalized amplitude oscillation in the equatorial plane to be \( \varepsilon = (B_x - B_{0z})/B_{0z} = \tilde{v}_w \sin(\Omega t) \), where \( \Omega \) is the disturbance (Pc3-4) frequency. Take into account only the \( \varepsilon_z \) variations due to first adiabatic invariant conservation and ignore the \( \varepsilon_\perp \) and \( \varepsilon_t \) perturbations due to the pitch-angle diffusion. Then for any point of the field line

\[
\begin{align*}
\Omega_e &= \Omega_{\text{ao}}(1 + \varepsilon) \\
D &= D_{0}(1 + \varepsilon) \\
\beta_e &= \beta_{\text{ao}}(1 + \varepsilon)^{-1} \\
\alpha &= \alpha_{0} \\
v_{ae} &= (v_{ae})_{0}(1 + \varepsilon).
\end{align*}
\]

In the absence of perturbation, the number density of warm electrons is assumed to be the same at any point of the VLF amplification region, whereas the cold plasma density is assumed to vary along the field line so that the Alfvén velocity remains constant.

The whistler-mode dispersion relation used throughout the paper is (Cuperman and Landau, 1974):

\[
k^2 \tilde{v}_e^2 \Omega_e^2 = \frac{x}{1 - x},
\]

where \( k \) is the VLF-wave number and \( \tilde{v}_e \) is the field-aligned warm-electron thermal velocity.

The magnetosphere plasma parameters controlling the VLF growth-rate modulation efficiency are: (i) the anisotropy factor \( \beta_e \) as being responsible for the instability and (ii) the ratio between the electron thermal parallel velocity and electron Alfvén velocity square \( \tilde{v}_e^2/\tilde{v}_{ae}^2 = \beta_e \) as determining the resonance condition. Either of these factors appears in Eq. 1; also, in the frame of the model these factors conserve their values along the magnetic field line and thus can characterize plasma over all the interaction region. Hereafter all used plasma-parameter values referring to undisturbed plasma are denoted by the subscript “0”.

3 VLF-wave frequency modulation in the magnetosphere equatorial plane

The aim of this section is to examine the sensitivity of the VLF maximum growth-rate frequency and upper cut-off frequency response to a magnetic-field disturbance, as a function of the magnetosphere plasma parameters, \( D \) and \( x \beta_e \), in the equatorial plane. An example of the VLF-wave growth-rate behaviour in the equatorial plane given by Eq. 1 is presented in Fig. 2, where the maximum amplitude frequency, \( x_w \), corresponds to frequency with a maximum VLF growth rate in the equatorial plane, and the upper cut-off frequency, \( x_u \), is the frequency corresponding to a zero value of the growth rate. Figure 2 is calculated for the following plasma-parameter set: \( L = 5 \), \( n = 1 \text{ cm}^{-3} \), \( n/n_w = 10 \), \( \beta_e = 0.1 \), \( D = 1.5 \).

\[
\begin{align*}
\Omega_e &= \Omega_{\text{ao}}(1 + \varepsilon) \\
D &= D_{0}(1 + \varepsilon) \\
\beta_e &= \beta_{\text{ao}}(1 + \varepsilon)^{-1} \\
\alpha &= \alpha_{0} \\
v_{ae} &= (v_{ae})_{0}(1 + \varepsilon).
\end{align*}
\]
The upper cut-off frequency, \( x_m \), at any point of the field line is found according to Eq. 1 from

\[
D - 1/(1 - x_m) = 0,
\]

where \( x_m = \omega_a/\Omega_e \). The upper cut-off modulation depth is defined by:

\[
\Delta \omega_m = \frac{x_m - x_{m0}}{x_{m0}} = \frac{\varepsilon}{1 - 1/D_0} \frac{x_m}{x_{m0}},
\]

where \( \Delta \omega_m = \omega_m - \omega_{m0} \), \( x'_m = \omega_m/\Omega_e \), and \( x_{m0} = \omega_{m0}/\Omega_e \). Thus the \( \Delta \omega_m \) value keeps constant along the magnetic field line.

Hence, the less the anisotropy, the stronger the upper cut-off modulation. From Eq. 5 it follows also that \( \Delta \omega_m \) changes by the same law as \( \varepsilon \) does, and thus they oscillate in phase, as was pointed out earlier by Haugstad (1976) for the weak diffusion regime.

The maximum amplitude frequency, \( x_m \), can be derived from the condition \( d\gamma/dx = 0 \), where \( \gamma \) is given by Eq. 1.

This results in

\[
\frac{1}{2x_m} + \frac{1}{D(1 - x_m) - 1} - \frac{(1 - x_m)^3(1 + 2x_m)}{2x\beta^1_m x_m^2} + 3 = 0. \tag{6}
\]

As seen from Eq. 6 the value of \( x_m \) is determined completely by \( D \) and \( x\beta^1 \) magnetosphere parameters. Equation 6 can be linearized:

\[
x_m = \frac{1}{(x\beta^1_m) + 1 + D(D - 1)}. \tag{7}
\]

This approximation is valid for

\[
\begin{cases}
\frac{x_m}{x\beta_m} < 1 \\
\frac{x_m}{x\beta_m} < \frac{x\beta^1_m(D - 1) + 2D - 1}{x\beta^1_m(5D - 4) + 2D - 3}.
\end{cases} \tag{8}
\]

Figure 3 (left panel) exhibits calculation results of \( x_m \) given by Eq. 6 and \( x_m \) obtained from its linear approximation (Eq. 7) for two values of \( D \). As seen in the figure, Eq. 8 allows us to estimate the values of \( x_m \) with good accuracy. On the right panel of Fig. 3 the undisturbed frequency of maximum emission intensity as a function of \( D \) and \( x\beta^1 \) is shown. Figure 3 indicates the area where \( x_m \) is the most sensitive to \( D \) and \( x\beta^1 \) increments. This area is located near low \( D \) and low \( x\beta^1 \) values. Note that dropping the \( x_{m0} \) value with decreasing the factor \( D \) in Fig. 3 is in agreement with Eq. 4, which corresponds to the critical anisotropy defined by Kennel and Petscheck (1966). As seen within the considered \( D \) and \( x\beta^1 \) ranges, \( x_{m0} \) remains small as compared to unity.

The modulation depth of maximum growth-rate frequency is:

\[
\frac{\Delta \omega_m}{\omega_{m0}} = \frac{x'_m - x_{m0}}{x_{m0}}, \tag{9}
\]

where \( \Delta \omega_m = \omega_m - \omega_{m0} \), \( x'_m = \omega_m/\Omega_e \), and \( x_{m0} = \omega_{m0}/\Omega_e \).

In Fig. 4 the \( \Delta \omega_m/\omega_{m0} \) value obtained using Eqs. 6 and 3 in the equatorial plane as a function of \( x\beta^1 \) and \( D_0 \) is presented for moderate and strong perturbation of the magnetic field. As seen from Fig. 4, the value of \( \Delta \omega_m/\omega_{m0} \) is not less than 20% for \( \varepsilon = AB/B = 0.1 \), and not less than...
50% for \( \varepsilon = 0.3 \). It is also seen that the deepest modulation of \( x_m \) corresponds to low \( D \)-parameter value; the closer the \( D \) is to 2, the less the modulation depth, and for \( D > 1.5 \) there is in fact no dependence on \( D \) and \( x \beta_1 \) values. Note that the maximum of \( \Delta \omega_m / \omega_m \) in Fig. 4 corresponds to a range of \( D \) and \( x \beta_1 \) values in Fig. 3.

4 Frequency modulation of the VLF wave after integrating along the field line

Integrating \( \gamma \), given by Eq. 1, along the dipole magnetic field line yields a path-integrated growth rate

\[
\Gamma = \int_{-z}^{+z} \frac{\gamma(z)}{v_{VLF}} dz,
\]

where integrating is carried out along the field line and \( v_{VLF} \) is the VLF-wave group velocity. For rough numerical estimation of \( \Gamma \) value as \( v_{VLF} \) the electron Alfvén velocity has been used. As an integrating \( z \)-interval, the length of the VLF-wave amplification region along the magnetic field line was accepted corresponding to \( \pm 45^\circ \) of magnetic latitude. Out of this region the \( \gamma \) value is in fact zero for most of the range \( 0 < x_{0eq} < 1 \) (see Fig. 5).

Compare the frequency modulation of the equatorial growth rate \( \gamma \) with that of the path-integrated growth rate \( \Gamma \). Neglecting dispersion effects, we may consider the frequency modulation based on the path-integrated growth rate to correspond to the ground observations.

4.1 Maximum intensity frequency modulation

The behaviour of the undisturbed VLF-wave growth rate along the magnetic field line for various equatorial normalized frequencies \( x_0 \) is shown in Fig. 5. Calculations are made for \( L = 5 \), \( n = 1 \) cm\(^{-3} \), \( n/n_w = 10 \), \( \beta_1 = 0.1 \), and \( D_0 = 1.5 \). As seen from the figure, the frequency at which the area bounded by the horizontal axis and the \( \gamma \)-curve is maximum (that is, the path-integrated growth rate, \( \Gamma \), is maximum), \( x = 0.23 \) is close to but slightly exceeds the frequency of maximum \( \gamma \) in the equatorial plane, \( x = 0.19 \).

It is interesting to compare the sensitivity of these two frequencies to the perturbation of the magnetosphere plasma parameters.

The ratio of the relative shifts (\( \Delta \omega_m / \omega_m \)) for path-integrated and equatorial values of the VLF growth rate, respectively, is in Fig. 6 presented as a function of \( x \beta_1 \) and \( D_0 \) parameters. Calculations are made for \( L = 5 \), \( n = 1 \) cm\(^{-3} \), \( \beta_1 = 0.1 \) and \( \varepsilon = 0.06 \) (the latter corresponds to the disturbance amplitude of 15 nT)
In Fig. 7 the undisturbed generated frequency band (given by isocontours of the path-integrated growth rate) as a function of the warm-electron anisotropy factor $D$ is shown. Two values of the parameter $\alpha_\beta_i$ distinguish one from the other by warm plasma content. Curve labels denote $\Gamma/\Gamma_{\text{max}}$ values where the path-integrated growth rate $\Gamma$ is given by Eq. 10 and $\Gamma_{\text{max}}$ is a function of $D$ and $\alpha_\beta_i$ only.

It is suitable for analysis to consider the frequency band cut at a level $\Gamma = 0.5 \Gamma_{\text{max}}$; note that emissions at lower intensity levels may be difficult to extract from the background noise.

In Fig. 7, lower panel, two positions of the generated frequency band cut at level 0.5 are exhibited for two phases of the magnetosonic wave perturbing the VLF amplification region at the fifth L-shell. The upper position corresponds to $+15$ nT of magnetic-field perturbation and the lower corresponds to $-15$ nT. Comparison of the left and right parts in the lower panel indicates that when the warm plasma content is large enough ($\alpha = n/n_{\text{warm}} = 10$), and hence the $\alpha_\beta_i$ value is low, the generated frequency band is disposed to be systematically higher than when the warm plasma content is poor ($\alpha = 100$). The sensitivity of the emission upper boundary at level 0.5 to the perturbations increases as the factor $D$ drops, and is higher for low values of the parameter $\alpha_\beta_i$. In the case of relatively high $\alpha_\beta_i$, the emission lower boundary does not in fact respond to the factor-$D$ changes, and the remarkable variations may be observed at the emission upper boundary only.

**Fig. 7.** Top panel: undisturbed VLF-wave generation band as a function of electron anisotropy factor $D = T_{\text{av}}/T_e$ for two values of the magnetosphere electron plasma parameter $\alpha_\beta_i$. Both $\alpha = n/n_{\text{warm}}$ and $\beta_i$ values in the figure are given for the equatorial plane. Labels on curves denote the logarithm of the VLF-wave output intensity in $\Gamma/\Gamma_{\text{max}}$ value units, where $\Gamma$ is the path-integrated growth rate and $\Gamma_{\text{max}}$ is its maximum value for given $D$ and $\alpha_\beta_i$. Bottom panel: in the same frame the VLF-wave generation band cut at level 0.5 in presence of the magnetic-field perturbation of 15-nT amplitude. Upper and lower band positions correspond to positive and negative phases, respectively, of the magnetic-field perturbation.
As follows from our results, the significant modulation of both upper and lower emission boundaries (taken at level 0.5 from the emission-intensity maximum) can be observed on the ground even by the weak pitch-angle diffusion regime. So, at the fifth L-shell, with a magnetic perturbation amplitude of 15 nT, the variation of both upper and lower emission boundaries for $\beta_{l} = 10$ would reach 25% for $D = 1.2$ and 18% and 10%, respectively, for $D = 1.5$. For $\beta_{l} = 100$, the upper-boundary frequency shift is roughly 15% for $D = 1.2$.

As seen in Fig. 7, the factor $D$ controls more effectively the upper-boundary frequency position than the parameter $\beta_{l}$ does. Figure 7 shows also that for lower $\beta_{l}$ values, the disturbed frequency band will in fact shift as a whole, giving a possibility of the inverted-V structure formation. As to higher $\beta_{l}$ values, the modulation ought to be observed at the upper emission boundary only, which provides the non-dispersive QP hisses. Note however, that the behaviour of the upper boundary frequency depends on the choice of the intensity level, as illustrated by the comparison of curves for 0.5 and 0.1 levels in Fig. 7, upper panel.

In fact the upper emission boundary visible in ground sonagrams is strongly affected by the VLF-wave propagation conditions. Emissions at frequencies exceeding one-half of the equatorial gyrofrequency are poorly guided by the field-aligned plasma irregularities (Hellisell, 1965). When the upper emission boundary at the chosen level reaches $0.5 \Omega_{\text{eo}}$, the upper cut-off frequency starts to coincide with so-called duct cut-off, and its variation is defined by a simple expression

$$\omega_{\text{e duct}} \approx 0.5 \Omega_{\text{eo}} (1 + \varepsilon),$$

where $\Omega_{\text{eo}}$ is the undisturbed equatorial gyrofrequency. Note that in this case the variation of $\Delta \omega_{\text{e duct}} = 0.5 \varepsilon \Omega_{\text{eo}}$ does not depend on ambient magnetic field, and this value is approximately the same at different L-shells.

5 Some examples of theoretical sonagrams

The considered model allows us to calculate theoretically the sonagrams (f-t diagrams) expected on the ground after propagation of the VLF wave (assumed initially to be “white noise”) along the magnetic field line.

Two samples of the calculated theoretical sonagrams of the VLF wave are presented in Fig. 8. The magnetic disturbance in the magnetosphere equatorial plane was assumed to be $\pm 15$ nT and hence $\varepsilon_{\text{m}} = 0.06$ at $L = 5$ and $\varepsilon_{\text{m}} = 0.17$ at $L = 7$. The following plasma parameters in the magnetosphere equatorial plane are summed: $D = 1.5$, $\beta_{l} = 1$, $\varepsilon = n/n_{\text{warm}} = 10$.
Figure 8 shows the path-integrated growth rate as a function of the VLF frequency and time. As seen, both sonagrams may be related to weak inverted-V structures of QP hiss, the modulation on the left panel (for \( L = 5 \)) is even closer to the non-dispersive type. The disturbance starts at \( t = 10 \) s and has a period of 30 s. One can see that the variations of the maximum intensity frequency and upper cut-off frequency coincide in phase with \( \tilde{\zeta}(t) \), whose maximum and minimum appear at \( t = 17.5 \) and 32.5 s, respectively.

Figure 9 shows the calculated path-integrated growth rate against frequency for \( L = 5 \) and \( L = 7 \) shells (the output spectrum of VLF emission in fact) for two phases of the magnetic-field perturbation corresponding to the maximum and minimum of the effect in the frequency modulation (solid lines). The dashed line corresponds to undisturbed condition. The figure exhibits more clearly the frequency shifts observed in Fig. 8.

The upper cut-off frequency in Figs. 8 and 9 is shifted up to 0.6 kHz at \( L = 5 \) and \( L = 7 \), that is by 18% and 50%, respectively. The modulation depth of the emission maximum frequency is about 18% at \( L = 5 \) and about 30% at \( L = 7 \).

The calculated results show that the VLF frequency modulation due to interaction between geomagnetic pulsations and VLF emission near the magnetosphere equatorial plane under the weak pitch-angle diffusion regime may be rather significant (order of 10%-20% in maximum amplitude frequency of the VLF emission) and may explain some of observed cases of the VLF frequency modulation.

6 Conclusions

In the present paper the efficiency of the VLF-wave frequency modulation by geomagnetic pulsations in the Pc3-4 period-range as a function of the magnetosphere plasma parameters is considered. Those parameters are the electron-temperature anisotropy factor \( D = T_T/T_e \) and the \( z \beta_\parallel \) parameter, where \( z \) is the total-to-warm electron concentration ratio and \( \beta_\parallel \) is the electron parallel beta. The factor \( D \) was assumed to be more than 1 but less than 2; the \( z \beta_\parallel \) change interval was chosen from 0.5 to 10. The maximum intensity frequency and the upper cut-off frequency modulation study is based on the VLF growth-rate modulation, both in the magnetosphere equatorial plane and after integrating along the magnetic field line. The following results have been obtained:

1. Numerical calculations of the VLF growth-rate modulation in the magnetosphere equatorial plane have shown that a relative shift of the maximum intensity frequency \( \Delta \omega_m/\omega_m \) is approximately inversely proportional to the anisotropy factor \( D \), and depends weakly on \( z \beta_\parallel \) for \( D > 1.5 \); for smaller \( D \) the \( \Delta \omega_m/\omega_m \) value increases when \( z \beta_\parallel \) drops. Note that maximum values of \( \Delta \omega_m/\omega_m \) correspond to small \( D \) and \( z \beta_\parallel \) values.

For the typical quiet magnetosphere plasma conditions at \( L = 5 \) (\( B = 250 \) nT, \( N_e = 1 \) cm\(^{-3} \)) and for the warm plasma content of about 10% in the magnetosphere equatorial plane, the relative shift of the maximum intensity frequency \( \Delta \omega_m/\omega_m \) is not less than 10% for the magnetic disturbance in the equatorial plane \( \varepsilon = 0.1 \) and 25% for \( \varepsilon = 0.3 \).

2. The emission maximum frequency on the ground has shown to be slightly higher than the frequency of the maximum growth rate in the equatorial plane. However, the variations of the former appear to be weaker than the variations of the latter (up to two times weaker at \( L = 5 \) for typical quiet magnetosphere conditions). The obtained results allows us also to estimate the ground-observed variation of the maximum intensity frequency when magnetosphere-plasma-parameter values near the equatorial plane are known.

3. The calculation results show that a significant modulation of the emission frequency band can be observed by the weak diffusion regime. At \( L = 5 \) for the magnetic perturbation of \( \pm 15 \) nT in the VLF amplification region the variation of both upper and lower emission boundaries at level 0.5 from the emission intensity maximum may reach 10%-25% for reasonable values of the anisotropy factor \( D = 1.2-1.5 \). The considered mechanism allows us to obtain both inverted-V and non-dispersive structures of QP hisses.

When the emission-boundary frequency exceeds the duct cut-off frequency, a variation of the emission upper cut-off is given by Eq. 11; it appears, therefore, to depend on the perturbation amplitude only, and is in phase with the magnetic-field oscillations.

4. Examples of calculated theoretical sonagrams show that the remarkable modulation is present both at the maximum intensity frequency and at the emission boundaries. The exhibited modulation type may be referred to intermediate type between QP inverted-V structures and non-dispersive QP hiss (Sato and Fukunishi, 1981).

Acknowledgements. Topical Editor K.-H. Glaßmeier thanks N. Cornilleau-Wehrlin and another referee for their help in evaluating this paper.

References


M. Goncharova, W. Lyatsky: On the frequency modulation of VLF emissions